

UNITED STATES BANKRUPTCY COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

In re: DEARBORN ADVISORS, LLC                      §     Case No. 17-11596  
   §  
   §  
   §

Debtor(s)

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**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION  
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY  
ADMINISTERED AND APPLICATION TO BE DISCHARGED (TDR)**

David R. Brown, chapter 7 trustee, submits this Final Account, Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>\$1,240,540.00</u> <i>(without deducting any secured claims)</i>	Assets Exempt: <u>N/A</u>
Total Distributions to Claimants: <u>\$35,736.37</u>	Claims Discharged Without Payment: <u>N/A</u>
Total Expenses of Administration: <u>\$114,310.26</u>	

3) Total gross receipts of \$150,046.63 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$0.00 (see **Exhibit 2**), yielded net receipts of \$150,046.63 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from <b>Exhibit 3</b> )	\$171,480.32	\$29,809.00	\$29,809.00	\$17,894.00
PRIORITY CLAIMS:				
CHAPTER 7 ADMIN. FEES AND CHARGES (from <b>Exhibit 4</b> )	\$0.00	\$101,932.74	\$114,310.26	\$114,310.26
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from <b>Exhibit 5</b> )	\$0.00	\$0.00	\$0.00	\$0.00
PRIORITY UNSECURED CLAIMS (from <b>Exhibit 6</b> )	\$0.00	\$50,518.08	\$20,804.49	\$17,842.37
GENERAL UNSECURED CLAIMS (from <b>Exhibit 7</b> )	\$1,907,366.79	\$1,514,013.94	\$1,514,013.94	\$0.00
<b>TOTAL DISBURSEMENTS</b>	<b>\$2,078,847.11</b>	<b>\$1,696,273.76</b>	<b>\$1,678,937.69</b>	<b>\$150,046.63</b>

4) This case was originally filed under chapter 7 on 04/12/2017. The case was pending for 28 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 08/06/2019

By: /s/ David R. Brown  
Trustee

**STATEMENT** This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO  
FINAL ACCOUNT**

**EXHIBIT 1 – GROSS RECEIPTS**

DESCRIPTION	UNIFORM TRAN. CODE <sup>1</sup>	\$ AMOUNT RECEIVED
Tax refunds State of Michigan tax year 2016	1124-000	\$2,251.57
Health insurance premium refund	1229-000	\$22,938.97
Bank of America checking account #3813	1129-000	\$73,621.37
VENDOR REFUND	1290-000	\$40.99
Payroll service refund	1229-000	\$467.61
Tax refunds other states or federal entities	1124-000	\$6,454.12
INTERESTS IN INSURANCE POLICIES	1229-000	\$4,654.00
Computer Equipment-See attached Rider 39/41	1129-000	\$7,322.00
Office Furniture-See attached Rider 39/41	1129-000	\$22,487.00
Tax refunds State of California tax year 2016	1124-000	\$9,809.00
<b>TOTAL GROSS RECEIPTS</b>		<b>\$150,046.63</b>
<sup>1</sup> The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.		

**EXHIBIT 2 – FUNDS PAID TO DEBTOR & THIRD PARTIES**

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
	None		

**EXHIBIT 3 - SECURED CLAIMS**

Claim NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
13S	Barrington Bank & Trust Company Randall & Kenig LLC	4110-000	NA	\$29,809.00	\$29,809.00	\$17,894.00
N/F	Barrington Bank & Trust Company	4110-000	\$170,035.47	NA	NA	NA
N/F	CDS Leasing	4110-000	\$1,444.85	NA	NA	NA
<b>TOTAL SECURED</b>			<b>\$171,480.32</b>	<b>\$29,809.00</b>	<b>\$29,809.00</b>	<b>\$17,894.00</b>

**EXHIBIT 4 – CHAPTER 7 ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
Trustee, Fees - David R. Brown	2100-000	NA	\$10,752.33	\$10,752.33	\$10,752.33
Trustee, Expenses - David R. Brown	2200-000	NA	\$15.66	\$15.66	\$15.66
Attorney for Trustee Fees - SPRINGER BROWN, LLC	3110-000	NA	\$8,533.00	\$8,533.00	\$8,533.00
Attorney for Trustee, Expenses - SPRINGER BROWN, LLC	3120-000	NA	\$557.52	\$557.52	\$557.52
Auctioneer Expenses - AMERICAN AUCTION ASSOCIATES	3620-000	NA	\$2,968.00	\$2,968.00	\$2,968.00
Bond Payments - International Sureties, Ltd.	2300-000	NA	\$82.40	\$82.40	\$82.40
Administrative Rent - OB I LLC c/o John D Silk	2410-000	NA	\$49,534.48	\$42,500.00	\$42,500.00
Banking and Technology Service Fee - Rabobank, N.A.	2600-000	NA	\$2,993.02	\$2,993.02	\$2,993.02
Other State or Local Taxes (post-petition) - ILLINOIS DEPT. OF REVENUE	2820-000	NA	\$0.00	\$19,412.00	\$19,412.00
Other Chapter 7 Administrative Expenses - AMERICAN AUCTION ASSOCIATES	2990-000	NA	\$1,165.00	\$1,165.00	\$1,165.00
Other Chapter 7 Administrative Expenses - IRON MOUNTAIN	2990-000	NA	\$700.00	\$700.00	\$700.00
Accountant for Trustee Fees (Other Firm) - Alan D Lasko & Associates, PC	3410-000	NA	\$24,176.50	\$24,176.50	\$24,176.50
Accountant for Trustee Expenses (Other Firm) - Alan D Lasko & Associates, PC	3420-000	NA	\$454.83	\$454.83	\$454.83
<b>TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES</b>		<b>NA</b>	<b>\$101,932.74</b>	<b>\$114,310.26</b>	<b>\$114,310.26</b>

**EXHIBIT 5 – PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
None					

**EXHIBIT 6 – PRIORITY UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
3A	David R King	5300-000	NA	\$21,360.00	\$3,455.13	\$3,455.13
5P	Department of the Treasury Internal Revenue Service	5800-000	NA	\$1,297.23	\$1,297.23	\$0.01
6	Illinois Department of Employment Security	5800-000	NA	\$36.06	\$36.06	\$0.00
10P	Richard D. Mager	5300-000	NA	\$12,850.00	\$3,419.62	\$3,419.62
11P	Desiree Waunn	5300-000	NA	\$12,850.00	\$3,419.62	\$3,419.62
15P	Wisconsin Department of Revenue Special Procedures Unit	5800-000	NA	\$1,378.85	\$1,378.85	\$0.00
16	New York State Dept. of Labor	5800-000	NA	\$495.95	\$0.00	\$0.00
17	State of Florida - Department of Revenue Fredrick F. Rudzik, ESQ.	5800-000	NA	\$100.00	\$100.00	\$0.00
18P	AZ DEPARTMENT OF REVENUE	5800-000	NA	\$149.99	\$149.99	\$0.00
	IL Department of Employment Security	5800-000	NA	NA	\$745.82	\$745.82
	IL Dept. of Revenue	5300-000	NA	NA	\$502.28	\$502.28
	INTERNAL REVENUE SERVICE	5800-000	NA	NA	\$220.71	\$220.71
	INTERNAL REVENUE SERVICE	5300-000	NA	NA	\$220.71	\$220.71
	INTERNAL REVENUE SERVICE	5300-000	NA	NA	\$3,044.16	\$3,044.16
	INTERNAL REVENUE SERVICE	5300-000	NA	NA	\$943.68	\$943.68

	INTERNAL REVENUE SERVICE	5800-000	NA	NA	\$91.32	\$91.32
	INTERNAL REVENUE SERVICE	5800-000	NA	NA	\$943.68	\$943.68
	Michigan Department of Treasury	5300-000	NA	NA	\$215.63	\$215.63
	Michigan Unemployment Insurance Agency	5800-000	NA	NA	\$620.00	\$620.00
N/F	Illinois Department of Revenue	5800-000	\$0.00	NA	NA	NA
N/F	Internal Revenue Service Special Procedures Branch 230 S. De	5800-000	\$0.00	NA	NA	NA
<b>TOTAL PRIORITY UNSECURED CLAIMS</b>			<b>\$0.00</b>	<b>\$50,518.08</b>	<b>\$20,804.49</b>	<b>\$17,842.37</b>

**EXHIBIT 7 – GENERAL UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
1	Barbara A. Crowell	7100-000	NA	\$604,241.91	\$604,241.91	\$0.00
2	Jeffrey Crowell, as Trustee of the Barbara A. Crow	7100-000	NA	\$105,654.64	\$105,654.64	\$0.00
3B	David R. King	7100-000	NA	\$8,510.00	\$8,510.00	\$0.00
4	DeLage Landen Financial Services Att: T Veitz	7100-000	NA	\$11,339.57	\$11,339.57	\$0.00
5U	Department of the Treasury Internal Revenue Service	7100-000	NA	\$1,060.72	\$1,060.72	\$0.00
7	Birch	7100-000	NA	\$2,907.86	\$2,907.86	\$0.00
8	TPH Healthcare Partners, LLC	7100-000	NA	\$62,318.82	\$62,318.82	\$0.00
10U	Richard D. Mager	7100-000	NA	\$73,816.67	\$73,816.67	\$0.00
11U	Desiree Waunn	7100-000	NA	\$22,150.00	\$22,150.00	\$0.00
12	Desiree Waunn	7100-000	NA	\$350,000.00	\$350,000.00	\$0.00
13U	Barrington Bank & Trust Company Randall & Kenig LLC	7100-000	NA	\$271,500.38	\$271,500.38	\$0.00
14	NYS Dept of Labor State Office Campus Bld	7200-000	NA	\$0.00	\$0.00	\$0.00
15U	Wisconsin Department of Revenue Special Procedures Unit	7200-000	NA	\$487.71	\$487.71	\$0.00
18U	AZ DEPARTMENT OF REVENUE	7200-000	NA	\$25.66	\$25.66	\$0.00
N/F	ANS Associates	7100-000	\$0.00	NA	NA	NA



N/F	Abigail Mager	7100-000	\$0.00	NA	NA	NA
N/F	Andrew Toole	7100-000	\$0.00	NA	NA	NA
N/F	Angela Tiberio	7100-000	\$0.00	NA	NA	NA
N/F	Anne Rodwell	7100-000	\$0.00	NA	NA	NA
N/F	BMB Bowers Limited	7100-000	\$0.00	NA	NA	NA
N/F	Barbara A. Crowell	7100-000	\$604,241.91	NA	NA	NA
N/F	Barrett	7100-000	\$0.00	NA	NA	NA
N/F	Bethany Mager	7100-000	\$0.00	NA	NA	NA
N/F	Birch Communications	7100-000	\$2,767.22	NA	NA	NA
N/F	Blue Cross Blue Shield of IL	7100-000	\$6,672.21	NA	NA	NA
N/F	BriDunn	7100-000	\$0.00	NA	NA	NA
N/F	CDS Office - Springfield	7100-000	\$188.59	NA	NA	NA
N/F	CDS Office Technologies, Inc.	7100-000	\$0.00	NA	NA	NA
N/F	Carnow & Associates, Ltd.	7100-000	\$3,882.03	NA	NA	NA
N/F	ComEd	7100-000	\$516.80	NA	NA	NA
N/F	D King IT Assist, LLC	7100-000	\$21,360.00	NA	NA	NA
N/F	Daniel Herman	7100-000	\$0.00	NA	NA	NA
N/F	Desiree Waunn	7100-000	\$350,000.00	NA	NA	NA
N/F	Douglas Jones	7100-000	\$0.00	NA	NA	NA
N/F	FedEx	7100-000	\$336.96	NA	NA	NA
N/F	Garvey's Office Supply	7100-000	\$37.76	NA	NA	NA

N/F	Guardian Insurance	7100-000	\$563.97	NA	NA	NA
N/F	Harris Stutman	7100-000	\$0.00	NA	NA	NA
N/F	Iron Mountain	7100-000	\$214.10	NA	NA	NA
N/F	Jacalyn Luchsinger	7100-000	\$0.00	NA	NA	NA
N/F	Jeffrey P. Crowell, Trustee of the Barba	7100-000	\$105,654.64	NA	NA	NA
N/F	John Mengelt	7100-000	\$0.00	NA	NA	NA
N/F	Laboratory Corporation of America Holdin	7100-000	\$51.00	NA	NA	NA
N/F	Marsh USA, Inc.	7100-000	\$19,275.00	NA	NA	NA
N/F	McGough (background checks)	7100-000	\$60.00	NA	NA	NA
N/F	OBI LLC	7100-000	\$59,949.78	NA	NA	NA
N/F	OBI LLC	7100-000	\$669,101.00	NA	NA	NA
N/F	Richard Rydell	7100-000	\$0.00	NA	NA	NA
N/F	Stan Jaworski	7100-000	\$0.00	NA	NA	NA
N/F	Stephen Jelinek	7100-000	\$0.00	NA	NA	NA
N/F	Susan Kruzan	7100-000	\$0.00	NA	NA	NA
N/F	TPH Healthcare Partners, LLC	7100-000	\$62,493.82	NA	NA	NA
<b>TOTAL GENERAL UNSECURED CLAIMS</b>			<b>\$1,907,366.79</b>	<b>\$1,514,013.94</b>	<b>\$1,514,013.94</b>	<b>\$0.00</b>

**Form 1****Individual Estate Property Record and Report**

Exhibit 8

Page: 1

**Asset Cases****Case No.:** 17-11596**Trustee Name:** (330580) David R. Brown**Case Name:** DEARBORN ADVISORS, LLC**Date Filed (f) or Converted (c):** 04/12/2017 (f)**§ 341(a) Meeting Date:** 05/09/2017**For Period Ending:** 08/06/2019**Claims Bar Date:** 08/11/2017

1 Asset Description (Scheduled And Unscheduled (u) Property)  Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Formally Abandoned OA=\$554(a) abandon.	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1 Cash on hand	0.00	0.00		0.00	FA
2 Bank of America checking account #3813	73,697.00	73,621.37		73,621.37	FA
3 Barrington Bank and Trust Co. checking #3382	0.00	0.00		0.00	FA
4 Deposit for gym membership Jones Lang LaSalle	40.00	0.00		0.00	FA
5 Letter of Credit issued by Barrington Bank and Trust Co. in connection with debtor's commercial lease with OB I, LLC	92,500.00	0.00		0.00	FA
6 Crowe Harwath LLP, 2016 (401)k audit *See response to SOFA no. 11 Trustee has retained this accountant to perform the pension plan audits so this prepaid amount will be credited to that work.	29,000.00	29,000.00		0.00	FA
7 Office Furniture-See attached Rider 39/41	15,000.00	22,487.00		22,487.00	FA
8 Computer Equipment-See attached Rider 39/41	5,000.00	7,322.00		7,322.00	FA
9 Office copiers -CDS Office Technologies lease See response to SOFA No. 11 prepayment to accountants for audit of pension plan.	Unknown	0.00		0.00	FA
10 Leasehold interest in real property located at 2215 York Road, Suite 400, Oakbrook, IL 60523	Unknown	0.00		0.00	FA
11 Intellectual Property patents, copyrights, trademarks, and trade secrets See Attached Rider to Schedule A/B Question 60	Unknown	0.00		0.00	FA
12 Internet domain names and websites DearbornAdvisors.com and DearbornAdvisors.net	Unknown	0.00		0.00	FA
13 Other intangibles, or intellectual property Clinician Adoption Methodology (with modules and associated templates) & Transformation for Value (methodology with support tools) *Manager Estimate after adequate marketing and with necessary transition support.	1,000,000.00	0.00		0.00	FA
14 Tax refunds State of Michigan tax year 2016	40.00	40.00		2,251.57	FA
15 Tax refunds State of California tax year 2016	3,500.00	9,809.00		9,809.00	FA
16 Tax refunds other states or federal entities *See Rider to Schedule A/B Question No. 72 for list of taxing jurisdictions	Unknown	3,973.00		6,454.12	FA

**Form 1****Individual Estate Property Record and Report  
Asset Cases**

Exhibit 8

Page: 2

**Case No.:** 17-11596**Case Name:** DEARBORN ADVISORS, LLC**Trustee Name:** (330580) David R. Brown**Date Filed (f) or Converted (c):** 04/12/2017 (f)**§ 341(a) Meeting Date:** 05/09/2017**For Period Ending:** 08/06/2019**Claims Bar Date:** 08/11/2017

1 Asset Description (Scheduled And Unscheduled (u) Property)  Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Formally Abandoned OA=§554(a) abandon.	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
17 Life insurance Policy (Insurer) West Coast Life Insurance Company; Richard Mager (insured); face value (\$1,000,000)	Unknown	0.00		0.00	FA
18 Pre-payment for executive search (executive not hired) Trustee reviewed contract and funds paid are nonrefundable	119,000.00	0.00		0.00	FA
19 Health insurance premium refund (u)	22,938.97	22,938.97		22,938.97	FA
20 Payroll service refund (u)	467.61	467.61		467.61	FA
21* INTERESTS IN INSURANCE POLICIES (u) (See Footnote)	Unknown	4,654.00		4,654.00	FA
22 VENDOR REFUND (u) FedEx refund	40.99	40.99		40.99	FA
<b>22 Assets Totals (Excluding unknown values)</b>	<b>\$1,361,224.57</b>	<b>\$174,353.94</b>		<b>\$150,046.63</b>	<b>\$0.00</b>

RE PROP# 21 workers compensation premium refund

**Major Activities Affecting Case Closing:**

Trustee is in the process of terminating 401k plan. This involves auditing the plan for 2016 and 2017, and allowing participants to roll over there accounts to individual 401k accounts. Tax returns, including returns for a number of states, are being prepared. Trustee estimates it will take another 6 months to complete that process.

**Initial Projected Date Of Final Report (TFR):** 06/29/2018**Current Projected Date Of Final Report (TFR):** 02/26/2019 (Actual)

**Form 2**Exhibit 9  
Page: 1**Cash Receipts And Disbursements Record****Case No.:** 17-11596**Trustee Name:**

David R. Brown (330580)

**Case Name:** DEARBORN ADVISORS, LLC**Bank Name:**

Rabobank, N.A.

**Taxpayer ID #:** \*\*-\*\*\*7603**Account #:**

\*\*\*\*\*6166 Checking Account

**For Period Ending:** 08/06/2019**Blanket Bond (per case limit):** \$77,173,558.00**Separate Bond (if applicable):** N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
05/10/17	{14}	State of Michigan	tax refund	1124-000	40.00		40.00
05/10/17	{15}	State of California	2016 tax refund	1124-000	3,500.00		3,540.00
05/18/17	{2}	Bank of America	Turnover of Debtor's bank account	1129-000	73,621.37		77,161.37
05/31/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		45.75	77,115.62
06/07/17	{19}	Health Care Service Corporation	refund for premium	1229-000	22,938.97		100,054.59
06/07/17	{15}	State of California	tax refund	1124-000	6,309.00		106,363.59
06/07/17	{16}	State of Missouri	tax refund	1124-000	278.00		106,641.59
06/07/17	{16}	State of Maine	tax refund	1124-000	408.04		107,049.63
06/07/17	{20}	Paycom Payroll LLC	payroll service refund	1229-000	406.01		107,455.64
06/30/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		142.92	107,312.72
07/05/17	{20}	Paycom Payroll LLC	payroll service refund	1229-000	61.60		107,374.32
07/20/17	{16}	State of Maryland	tax refund from 2016	1124-000	57.00		107,431.32
07/31/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		149.29	107,282.03
08/10/17		Heath Industrial Auction Services, Inc	Proceeds of auction sale		29,809.00		137,091.03
	{7}		furniture and equipment \$22,487.00	1129-000			137,091.03
	{8}		computers and peripherals \$7,322.00	1129-000			137,091.03
08/31/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		198.33	136,892.70
09/07/17	{16}	State of New Jersey	tax refund for 2016 income taxes	1124-000	3,230.00		140,122.70
09/11/17	101	Barrington Bank & Trust Company	Share of proceeds of sale of office equipment and furnishings.	4110-000		17,894.00	122,228.70
09/11/17	102	AMERICAN AUCTION ASSOCIATES	Auction expenses Voided on 09/11/2017	2500-004		2,968.00	119,260.70
09/11/17	102	AMERICAN AUCTION ASSOCIATES	Auction expenses Voided on 09/11/2017	2500-004		-2,968.00	122,228.70
09/11/17	103	AMERICAN AUCTION ASSOCIATES	Auctioneer expenses	3620-000		2,968.00	119,260.70
09/25/17	104	AMERICAN AUCTION ASSOCIATES	Reimburse payment to ProShred Security	2990-000		1,165.00	118,095.70
09/29/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		182.58	117,913.12
10/30/17	{21}	Marsh USA, Inc	workers comp policy refund	1229-000	4,654.00		122,567.12
10/31/17		Rabobank, N.A.	Bank and Technology Services	2600-000		181.12	122,386.00

**Page Subtotals:** **\$145,312.99** **\$22,926.99**

**Form 2**Exhibit 9  
Page: 2**Cash Receipts And Disbursements Record****Case No.:** 17-11596**Trustee Name:**

David R. Brown (330580)

**Case Name:** DEARBORN ADVISORS, LLC**Bank Name:**

Rabobank, N.A.

**Taxpayer ID #:** \*\*-\*\*\*7603**Account #:**

\*\*\*\*\*6166 Checking Account

**For Period Ending:** 08/06/2019**Blanket Bond (per case limit):** \$77,173,558.00**Separate Bond (if applicable):** N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
			Fees				
11/21/17	105	IRON MOUNTAIN	Invoice ## NTM8354, NWC1153, NAZ3161	2990-000		700.00	121,686.00
11/30/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		175.93	121,510.07
12/22/17	{16}	Commonwealth of Virginia	unemployment tax overpayment refund	1124-000	296.00		121,806.07
12/22/17	{16}	Commonwealth of Kentucky	tax refund	1124-000	1,500.61		123,306.68
12/29/17		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		169.12	123,137.56
01/05/18	{16}	New York Dept of Taxation	Tax refund	1124-000	21.00		123,158.56
01/05/18	{16}	Minnesota Dept of Employment	Unemployment tax refund	1124-000	500.00		123,658.56
01/18/18	{22}	FedEx Express	Vendor refund	1290-000	40.99		123,699.55
01/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		195.42	123,504.13
02/13/18	106	International Sureties, Ltd.	BOND # 016073584	2300-000		38.00	123,466.13
02/28/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		165.78	123,300.35
03/05/18	{16}	State of Tennessee	SUTA refund	1124-000	163.47		123,463.82
03/30/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		177.53	123,286.29
04/30/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		171.41	123,114.88
05/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		194.80	122,920.08
06/05/18	107	Alan D. Lasko & Associates, PC	fees and expenses pursuant to order approving same entered 6/1/2018			14,102.69	108,817.39
				3410-000			108,817.39
			\$13,966.20				
				3420-000			108,817.39
			\$136.49				
06/29/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		159.41	108,657.98
07/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		166.70	108,491.28
08/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		161.24	108,330.04
09/28/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		83.10	108,246.94
10/31/18		Rabobank, N.A.	Bank and Technology Services	2600-000		97.86	108,149.08

Page Subtotals: \$2,522.07 \$16,758.99

**Form 2**Exhibit 9  
Page: 3**Cash Receipts And Disbursements Record****Case No.:** 17-11596**Trustee Name:**

David R. Brown (330580)

**Case Name:** DEARBORN ADVISORS, LLC**Bank Name:**

Rabobank, N.A.

**Taxpayer ID #:** \*\*-\*\*\*7603**Account #:**

\*\*\*\*\*6166 Checking Account

**For Period Ending:** 08/06/2019**Blanket Bond (per case limit):** \$77,173,558.00**Separate Bond (if applicable):** N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
			Fees				
11/30/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		88.88	108,060.20
12/31/18		Rabobank, N.A.	Bank and Technology Services Fees	2600-000		85.85	107,974.35
02/18/19	{14}	State of Michigan	income tax refund	1124-000	2,211.57		110,185.92
02/18/19	108	International Sureties, Ltd.	Bond 016073584	2300-000		44.40	110,141.52
05/06/19	109	SPRINGER BROWN, LLC	Distribution payment - Dividend paid at 100.00% of \$557.52; Claim # ATTYExp; Filed: \$557.52	3120-000		557.52	109,584.00
05/06/19	110	SPRINGER BROWN, LLC	Distribution payment - Dividend paid at 100.00% of \$8,533.00; Claim # ATTYFees; Filed: \$8,533.00	3110-000		8,533.00	101,051.00
05/06/19	111	Alan D Lasko & Associates, PC	Distribution payment - Dividend paid at 69.99% of \$454.83; Claim # LaskoExp; Filed: \$454.83	3420-000		318.34	100,732.66
05/06/19	112	Alan D Lasko & Associates, PC	Distribution payment - Dividend paid at 42.23% of \$24,176.50; Claim # LaskoFees; Filed: \$24,176.50	3410-000		10,210.30	90,522.36
05/06/19	113	David R. Brown	Distribution payment - Dividend paid at 100.00% of \$10,752.33; Claim # TR Comp; Filed: \$10,752.33	2100-000		10,752.33	79,770.03
05/06/19	114	David R. Brown	Distribution payment - Dividend paid at 100.00% of \$15.66; Claim # TRExp; Filed: \$15.66	2200-000		15.66	79,754.37
05/06/19	115	ILLINOIS DEPT. OF REVENUE	Distribution payment - Dividend paid at 100.00% of \$19,412.00; Claim # ; Filed: \$0.00	2820-000		19,412.00	60,342.37
05/06/19	116	OB I LLC c/o John D Silk	Distribution payment - Dividend paid at 100.00% of \$42,500.00; Claim # 9; Filed: \$49,534.48	2410-000		42,500.00	17,842.37
05/06/19	117	INTERNAL REVENUE SERVICE	Distribution payment - Dividend paid at 100.00% of \$3,044.16; Claim # ; Filed:	5300-000		3,044.16	14,798.21
05/06/19	118	INTERNAL REVENUE SERVICE	Distribution payment - Dividend paid at 100.00% of \$943.68; Claim # ; Filed:	5300-000		943.68	13,854.53
05/06/19	119	INTERNAL REVENUE SERVICE	Distribution payment - Dividend paid at 100.00% of \$220.71; Claim # ; Filed:	5300-000		220.71	13,633.82
05/06/19	120	Michigan Department of Treasury	Distribution payment - Dividend paid at 100.00% of \$215.63; Claim # ; Filed:	5300-000		215.63	13,418.19
05/06/19	121	IL Dept. of Revenue	Distribution payment - Dividend paid at 100.00% of \$502.28; Claim # ; Filed:	5300-000		502.28	12,915.91

Page Subtotals: \$2,211.57 \$97,444.74

**Form 2**Exhibit 9  
Page: 4**Cash Receipts And Disbursements Record****Case No.:** 17-11596**Trustee Name:**

David R. Brown (330580)

**Case Name:** DEARBORN ADVISORS, LLC**Bank Name:**

Rabobank, N.A.

**Taxpayer ID #:** \*\*-\*\*\*7603**Account #:**

\*\*\*\*\*6166 Checking Account

**For Period Ending:** 08/06/2019**Blanket Bond (per case limit):** \$77,173,558.00**Separate Bond (if applicable):** N/A

1	2	3	4		5	6	7
Trans. Date	Check or Ref. #	Paid To / Received From	Description of Transaction	Uniform Tran. Code	Deposit \$	Disbursement \$	Account Balance
05/06/19	122	David R King	Distribution payment - Dividend paid at 68.10% of \$5,073.61; Claim # 3A; Filed: \$21,360.00	5300-000		3,455.13	9,460.78
05/06/19	123	Richard D. Mager	Distribution payment - Dividend paid at 67.40% of \$5,073.61; Claim # 10P; Filed: \$12,850.00 Stopped on 07/11/2019	5300-005		3,419.62	6,041.16
05/06/19	124	Desiree Waunn	Distribution payment - Dividend paid at 67.40% of \$5,073.61; Claim # 11P; Filed: \$12,850.00	5300-000		3,419.62	2,621.54
05/06/19	125	INTERNAL REVENUE SERVICE	Distribution payment - Dividend paid at 100.00% of \$943.68; Claim # ; Filed:	5800-000		943.68	1,677.86
05/06/19	126	INTERNAL REVENUE SERVICE	Distribution payment - Dividend paid at 100.00% of \$220.71; Claim # ; Filed:	5800-000		220.71	1,457.15
05/06/19	127	INTERNAL REVENUE SERVICE	Distribution payment - Dividend paid at 100.00% of \$91.32; Claim # ; Filed:	5800-000		91.32	1,365.83
05/06/19	128	Michigan Unemployment Insurance Agency	Distribution payment - Dividend paid at 100.00% of \$620.00; Claim # ; Filed:	5800-000		620.00	745.83
05/06/19	129	IL Department of Employment Security	Distribution payment - Dividend paid at 100.00% of \$745.82; Claim # ; Filed:	5800-000		745.82	0.01
05/06/19	130	Department of the Treasury Internal Revenue Service	Distribution payment - Dividend paid at 0.00% of \$1,297.23; Claim # 5P; Filed: \$1,297.23	5800-000		0.01	0.00
07/11/19	123	Richard D. Mager	Distribution payment - Dividend paid at 67.40% of \$5,073.61; Claim # 10P; Filed: \$12,850.00 Stopped on 07/11/2019	5300-005		-3,419.62	3,419.62
07/15/19	131	Richard D. Mager	Replace check 523	5300-000		3,419.62	0.00

**COLUMN TOTALS****150,046.63****150,046.63****\$0.00**

Less: Bank Transfers/CDs

0.00

0.00

**Subtotal****150,046.63****150,046.63**

Less: Payments to Debtors

0.00

**NET Receipts / Disbursements****\$150,046.63****\$150,046.63**



**Form 2**

Exhibit 9  
Page: 5

**Cash Receipts And Disbursements Record**

**Case No.:** 17-11596  
**Case Name:** DEARBORN ADVISORS, LLC  
**Taxpayer ID #:** \*\*\_\*\*\*7603  
**For Period Ending:** 08/06/2019

**Trustee Name:** David R. Brown (330580)  
**Bank Name:** Rabobank, N.A.  
**Account #:** \*\*\*\*\*6166 Checking Account  
**Blanket Bond (per case limit):** \$77,173,558.00  
**Separate Bond (if applicable):** N/A

<b>TOTAL - ALL ACCOUNTS</b>	<b>NET DEPOSITS</b>	<b>NET DISBURSEMENTS</b>	<b>ACCOUNT BALANCES</b>
*****6166 Checking Account	\$150,046.63	\$150,046.63	\$0.00
	<b>\$150,046.63</b>	<b>\$150,046.63</b>	<b>\$0.00</b>